

ifw 2164

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/435,899	
	Filing Date	11/08/1999	
	First Named Inventor	P. J. SEGER	
	Art Unit	2164	
	Examiner Name	J. F. Betit	
Total Number of Pages in This Submission	5	Attorney Docket Number	TUC919990050US1

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): RETURN RECEIPT POSTCARDS (2)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	International Business Machines Corporation		
Signature			
Printed name	JOHN H. HOLCOMBE		
Date	Jan. 18, 2006	Reg. No.	20,620

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Ceil White	Date	1/18/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JAN 23 2006

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	09/435,899
Filing Date	11/08/1999
First Named Inventor	P. J. SEGER
Examiner Name	J. F. Betit
Art Unit	2164
Attorney Docket No.	TUC19990050US1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 09-0449 Deposit Account Name: IBM Corporation

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25

Each independent claim over 3 (including Reissues)

200	100
-----	-----

Multiple dependent claims

360	180
-----	-----

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
--------------	--------------	----------	---------------

- 20 or HP =	x	=	
--------------	---	---	--

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
---------------	--------------	----------	---------------

- 3 or HP =	x	=	
-------------	---	---	--

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
--------------	--------------	--	----------	---------------

- 100 =	/ 50 =	(round up to a whole number) x	=	
---------	--------	--------------------------------	---	--

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Supplemental IDS - Foreign References

180.00

SUBMITTED BY

Signature	<i>John H. Holcombe</i>	Registration No. (Attorney/Agent) 20,620	Telephone 520-760-6629
Name (Print/Type)	JOHN H. HOLCOMBE		Date Jan. 18, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Date: January 17, 2006

PAUL J. SEGER

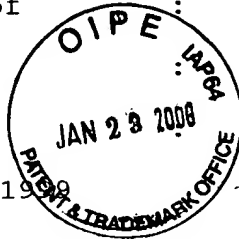
Group Art Unit: 2164

Serial No.: 09/435,899

Examiner: J. F. Betit

Filed: November 8, 1999

For: WIRELESS SECURITY ACCESS MANAGEMENT FOR A PORTABLE DATA STORAGE CARTRIDGE



SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 CFR 1.97(c))

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97 and 1.98, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of documents which may be relevant to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the documents cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited document, and return a copy of the Form 1449 for applicants' records. It is further requested that the documents be cited on the cover of any patent issuing from the subject application.

The citation of the above documents should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent information is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily relevant information to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under 1.113 or a notice of allowance under 1.311, whichever occurs first.

Accompanying this Information Disclosure Statement is

___ a certification as specified in 37 CFR 1.97(e)

OR

01/23/2006 CCHAU1 00000028 090449 09435899

01 FC:1806 180.00 DA

S/N 09/435,899

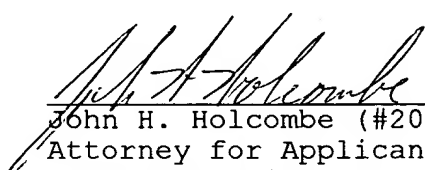
-1-

TUC919990050US1

- X the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under 1.97(c). (\$180.00)
- X Please charge Deposit Account 09-0449 in the amount of \$180.00. A duplicate of this request is attached.
- X If any additional fees are due, please charge Deposit Account 09-0449.

Respectfully submitted,
Paul J. Seger

By:


John H. Holcombe (#20,620)
Attorney for Applicant(s)
IBM Corporation, IP Law
9000 S. Rita Road, 90AA/9032
Tucson, Arizona 85744
(520) 760-6629

JHH/cw

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, DC 20231 on JAN. 18, 2006.

Person mailing paper/fee: CEIL WHITE.

Signature Ceil White

Sheet 1 of 1

Form PTO-1449

Docket No.: TUC919990050US1 Application: No: 09/435,899

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant: Paul J. Seger

(Use Several Sheets If Necessary)

Filing Date: 11/08/99

Group Art Unit: 2164

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1A						
	1B						
	1C						
	1D						

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country of Patent Office	Class	Subclass	Translation Yes / No
	2A	11-161551	18.06.1999	JAPAN	G06F	12/14	Abstract
	2B	11-025045	29.01.1999	JAPAN	G06F	15/00	Abstract
	2C	11-007412	12.01.1999	JAPAN	G06F	12/14	Abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial		
	3A	
	3B	

Examiner: _____ Date Considered: _____

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.